02/25/04

÷

PTO/SB/21 (03-03)

Approved for use through 4/30/2003. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of iformation unless it contains a valid OMB control ber. Application Number 10/696 282

					7070,202		
TRANSMITTAL FORM			Filing Date	O	October 29, 2003		
			First Named Inventor	Ja	mes M. Wilson et al		
FORIVI			Group Art Unit	16	35		
(to be used for all correspondence after initial filing)			Examiner Name	Bı	ian A. Whiteman		
Total Number of Pages in this		4	Attorney Docket Number	U	PN-GNV31BUSA/K1774DIV1		
ENCLOSURES (check all that apply)							
Fee Transmittal Form	1	Drawing(s)			After Allowance Communication to Group		
Fee Attached		🗆 נ	Licensing-related Papers				
Amendment/Reply	•	□ _F	Petition		Appeal Communication to Board of Appeals and Interferences		
After Final			Petition to Convert to a		Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)		
Affidavits/declara	tion(s)		Provisional Application		Proprietary Information		
Extension of Time Ro	equest	c	Power of Attorney, Revocation Change of Correspondence		Status Letter		
Express Abandonme	nt Request		Address		Other Enclosure(s) (please		
Information Disclosu			erminal Disclaimer		identify below):		
Certified Copy of Price			Request for Refund		1-Reference		
Document(s)	•	Rema	CD, Number of CD(s)				
Response to Missing Parts/ Incomplete Application		Keine	11.63				
Response to Missing Parts		:					
under 37 CFR 1.5	2 or 1.53						
	SIGNAT	URE O	F APPLICANT, ATTORNE	Y, OR	RAGENT		
	Howson and Howson						
or Individual Name Cathy A. Kodroff					·		
Signature Cather a Kodes							
Date February 24, 2004			00				
CERTIFICATE OF TRANSMISSION/MAILING							
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, PO Box 1450; Alexandria, VA 22313-1450 on this date:							
Typed or printed name							
Signature				Date			
· · · · · · · · · · · · · · · · · · ·							

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 USC 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

Express Mail No. EU531574467US

Customer No. 00270



Customer No. 00270

UPN-GNV31BUSA/K1774DIV1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of James M. Wilson et al) Group Art Unit: 1635		
James IVI. Wilson et al) Examiner: Brian A. Whiteman		
Appln. No. 10/696,282)		
Filed: October 29, 2003)		
For: ADENO-ASSOCIATED VIRUS SEROTYPE			
1 NUCLEIC ACID SEQUENCES, VECTORS AND HOST CELLS CONTAINING SAME	•		
Commissioner for Patents			
Alaxandria VA 22212 1450	· · · · · · · · · · · · · · · · · · ·		

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

Applicant submits to the Examiner the attached Form PTO/SB/08A document listing and this paper pursuant to 37 CFR § 1.56 and § 1.97-1.98. Form PTO/SB/08A is attached and a copy of the document is enclosed herewith. This Information Disclosure Statement is submitted more than three months from the filing date of this application and before the receipt of a first Office Action on the merits. Therefore, no fees are believed due.

The Director is hereby authorized to charge any deficiency in any fees due with the filing of this paper or credit any overpayment in any fees to our Deposit Account Number 08-3040.

Express Mail No. EU531574467US

The Examiner is respectfully requested to consider the enclosed document identified in this paper and in the attached Form PTO/SB/08A during the course of examination of this application.

Respectfully submitted,

HOWSON AND HOWSON Attorneys for Applicant

y <u>(1)</u>

Cathy A. Kodroff

Registration No. 33,980

Spring House Corporate Center

Box 457

Spring House, PA 19477 Phone: (215) 540-9200

Fax: (215) 540-5818



PTO/SB/08B (08-00)
Approved for use through 4/30/2003. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Cubalitata for form 1110/070	Complete if Known		
Substitute for form 1449/PTO	Application Number	10/696,282	
INFORMATION DISCLOSURE	Filing Date	October 29, 2003	
STATEMENT BY APPLICANT	First Named Inventor	James M. Wilson, M.D., Ph.D.	
(Use as many sheets as necessary)	Group Art Unit	1635	
	Examiner Name	Brian A. Whiteman	
Sheet 1 of 1	Attorney Docket Number	GNV31BUSA/K1774DIV1	

U.S. PATENT DOCUMENTS					
		Document Number	<u> </u>	Name of	
Examiner Initials*	Cite No. ¹	Number-Kind Code ^{2(if} known)	Publication Date MM-DD-YYYY	Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	CCC	US-2002-0159978 A1	10-31-2002	Allen	
			-		
				<u></u>	<u> </u>

-		FOREI	GN PATENT DOCUMENTS			
Examiner Cite —		Foreign Patent Document	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where	T.6
Initials*	No. ¹	Country Code ³ - Number ⁴ -Kind Code ⁵ (if known)	MM-DD-YYYY	Applicant of Cited Document	Relevant Passages or Relevant Figures Appear	

Examiner	Da	Date
Signature	Co	Considered

^{*} EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 USC 122 and 37 CFR 1.14. this collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETE FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, PO Box 1450, Alexandria, 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.